





# Preparing A Federal Grant Application: Understanding the Basics

February 28, 2018

#### **Agenda**

- House Keeping Items
- Welcome and Participant Survey
  - Kristy Love, Deputy Director, Criminal Justice Coordinating Council
- Where to Find Opportunities and What You Will Need to Apply
  - Ayris Scales, Executive Director, Office of Partnerships and Grants Services
- Targeting Appropriate Funding
  - Michelle Garcia, Executive Director, Office of Victims Services and Justice Grants
- Finding Data to Support Your Application
  - Dr. Ellen McCann, Statistician, Criminal Justice Coordinating Council
- The ABC's of Pulling Together a Grant Application
  - Dr. Carolyne Siganda, Strategic Development Associate, DC Superior Court

#### **House Keeping Items**

- Previous Trainings
  - https://cjcc.dc.gov/page/grants-planning
- Bathrooms
- Mailing Lists
- Slides
- Evaluations



### **OPGS Mission**

To enhance the capacity of District government agencies, community and faith-based organizations, and nonprofits to identify, apply, and secure resources that advance the Mayor's top public policy priorities.



### **Core Services**

- Facilitate Partnerships
- Provide Grants and Resource Development Support
- Oversight of District Grantmaking Process
- Oversight of District Donations
- Provide Capacity Building Support and Training
- Promote Funding Opportunities



### Where To Find Funding Opportunities

#### **OPGS** Resources

- Website
  - www.opgs.dc.gov
- Weekly Funding Alert
- Grants Information Resource Center (GIRC)
- Events & Workshops
- Social Media





What are you looking for today?

News Room

Resources \*

311 Online Agency Directory Online Services Accessibility

DC.gov opgs.dc.gov

Q

#### Office of Partnerships and Grant Services

Services ▼ Information ▼ Funding Alert

2018 Citywide Donations Management

The Office of Partnerships and Grant Services (OPGS) and the Mayor's Office of General Counsel (OGC) recently held a Donation Management Training Session for 40 DC Government staff members on February 6, 2018. The training session



2018 Eight Week Intensive **Proposal Writing Course** 

The Mayor's Office of Partnerships and Grant Services (OPGS), in partnership with the Magi Group LLC, will offer an Intensive 8-week Grant Proposal Writing Course for District of Columbia Government Employees, Nonprofit Executive Directors, Staff, Program Officers,



**Funding Alert Information** 

About OPGS ▼

The Funding Alert is a weekly electronic bulletin produced by the Office of Partnerships and Grant Services that highlights competitive grant opportunities and related resource announcements for eligible community, faith-based, and 501 (c)

● ● ● ● ● Next



## Funding Opportunities and Resources

### FUNDING ALERT





#### **Government of the District of Columbia**

Muriel Bowser, Mayor 441 4<sup>th</sup> Street, NW Suite 707N Washington, DC 20001 http://opgs.dc.gov

Register to receive Funding Alert at

https://opgs.dc.gov/service/funding-alert-information



### Grants Information Resource Center (GIRC)



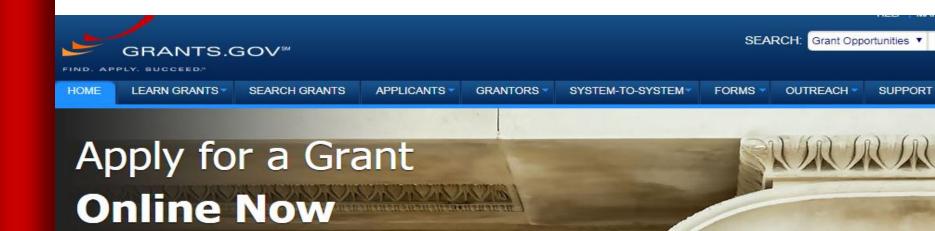
For appointments call 202-727-8900



### Federal Funding Opportunities

- Grants.gov
  - Search Grants
  - Sign up to receive information about grant specific to your mission and programs

https://www.grants.gov/web/grants/home.html





# Before Applying for a Federal Grant

 Apply for a DUNS Number (Data Universal Numbering System - A unique nine digit identifier for businesses. It is used to establish your business credit file, which is often referenced by lenders and potential business partners to help predict the reliability and/or financial stability of working with you. Takes up to 30 business days.

http://www.dnb.com/duns-number.html

Employee Identification Number (EIN) – is used to identify a business entity. Register on line you will receive immediately.

https://www.irs.gov/businesses/small-businesses-self-employed/employer-id-numbers



# Before Applying for a Federal Grant

Register with Federal System for Award Management (SAM) to do business with the U.S. government.

- At a minimum to register you will need the following information:
  - Your DUNS Number, Legal Business Name, and Physical Address from your Dun & Bradstreet (D&B) record.
  - Your Taxpayer Identification Number (TIN) and Taxpayer Name associated with your TIN.
     Review your tax documents from the IRS (such as a 1099 or W-2 form) to find your
     Taxpayer Name.
  - Your bank's routing number, your bank account number, and your bank account type, i.e. checking or savings, to set up Electronic Funds Transfer (EFT).



# Before Applying for a Federal Grant

- Register with Grants.Gov
- New Workspace allows a grant team to simultaneously access and edit different forms within an application. The forms can be filled out online or offline.
- Identify the Grant/Program Officer
- Have a grant submission plan in place
- Do not wait until the last minute!!

https://www.grants.gov/web/grants/applicants/registration



### **OPGS Contact Information**

Executive Director: Ayris Scales (202) 727- 8900, email: Ayris.scales@dc.gov

Deputy Director: Pat Henry (202) 727- 0946, email: Pat.Henry@dc.gov

Special Projects Coordinator: Deja Harrison (202) 727-7998, email: deja.Harrison@dc.gov

To Schedule a GIRC Appointment: (202) 727-8900

441 4<sup>th</sup> Street, NW 707 North Washington DC 20001 202-727-8900 (o) 202-727-1652 (fax) www.Opgs.dc.gov



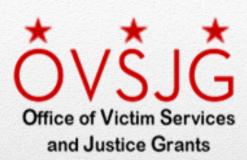






# Preparing A Federal Grant Application: Understanding the Basics

February 28, 2018



The Office of Victim Services and Justice Grants (OVSJG) mission is to coordinate and fund programs in the District that serve crime victims, prevent crime, and improve the administration of justice for victims and offenders. OVSJG also provides policy making expertise, advise, and counsel to the Executive on the role of victims and offenders in the criminal justice system, and evidence-based practices to respond to, intervene in, and prevent violence. OVSJG is also responsible for overseeing the programmatic strategies and coordinating grant-making efforts for victim service and justice grants programs as well as the Access Justice Initiative in order to ensure the coordinated programmatic and grant-making efforts of those offices.

Michelle M. Garcia Director michelle.garcia@dc.gov 202-724-7216



# TARGETING APPROPRIATE FUNDING







#### Good Money

- Alignment with the funding agency's mission and the grant purpose
- Furthers your organization's mission
- Able to meet the grant requirements

### Bad Money

- Non-sustainable after the funding ends
- Award period too short to accomplish your goals/objectives
- Requires a match you can't meet























































### Federal Grant-Making Agencies





#### Appalachian Regional Commission (ARC) Grants

ARC is a regional economic development agency that represents a partnership of federal, state, and local government. Each year ARC provides funding for several hundred investments in the Appalachian Region, in areas such as business development, education and job training, telecommunications, infrastructure, community development, housing, and transortation.



#### Election Assistance Commission (EAC) Grants

EAC is an independent, bipartisan commission charged with developing guidance to meet the Help America Vote Act of 2002 (HAVA) requirements, adopting voluntary voting system guidelines, and serving as a national clearinghouse of information on election administration.



#### Federal Mediation and Conciliation Service (FMCS) Grants

FMCS is an independent agency whose mission is to preserve and promote labor-management peace and cooperation. The agency provides mediation and conflict resolution services to industry, government agencies, and communities.



#### Gulf Coast Ecosystem Restoration Council (GCERC) Grants

GCERC has responsibilities with respect to 60 percent of the funds made available from the Gulf Restoration Trust Fund. Another primary responsibility is developing a comprehensive plan to restore the ecosystem and the economy of the Gulf Coast region.



#### Inter-American Foundation (IAF) Grants

IAF awards development assistance directly to the organized poor in Latin America and the Caribbean. IAF provides grant support for creative ideas for self-help received from grassroots groups and nongovernmental organizations.



#### James Madison Memorial Fellowship Foundation (JMMFF) Fellowships

JMMFF fellowships are for the purpose of improving teaching about the United States Constitution in secondary schools.

#### **WWW.GRANTS.GOV**



#### Japan-United States Friendship Commission (JUSFC) Grants

JUSFC works to strengthen the U.S.-Japan relationship through educational, cultural, and intellectual exchange. The Commission is a grant-making agency that supports research, education, public affairs, and exchange with Japan.



#### Marine Mammal Commission (MMC) Grants

MMC works to further the conservation of marine mammals and their environment. Its mission is to provide independent, sciencebased oversight of domestic and international policies and actions of federal agencies addressing human impacts on marine mammals and their ecosystems.



#### Millennium Challenge Corporation (MCC) Contracts and Grants

MCC is a U.S. foreign aid agency that focuses on helping alleviate global poverty. MCC advances American values by delivering development assistance that promotes sound policy reforms, creates new opportunities for economic growth, and shares learning that makes the discipline of development effective and results-focused.



#### National Credit Union Administration (NCUA) Grants and Loans

NCUA's mission is to provide, through regulation and supervision, a safe and sound credit union system, which promotes confidence in the national system of cooperative credit. Its vision is to protect consumer rights and member deposits.



#### Nuclear Regulatory Commission (NRC) Grants

NRC was created as an independent agency by Congress in 1974 to ensure the safe use of radioactive materials for beneficial civilian purposes while protecting people and the environment. NRC regulates commercial nuclear power plants and other uses of nuclear materials, such as in nuclear medicine, through licensing, inspection, and enforcement of its requirements.



#### Woodrow Wilson International Center for Scholars (WWICS) Fellowships and Grants

The Wilson Center, chartered by Congress as the official memorial to President Woodrow Wilson, is a non-partisan policy forum focused on global issues through independent research and open dialogue to inform actionable ideas for the policy community.

### **Other Federal Grants**



#### Pros

- Many government grants are quite large and could provide much support for your cause
- Government money provides nonprofits credibility so that they can attract other funding
- Government agencies often provide free training and technical assistance to their grantees
- Government agencies might introduce you to a network of potential partners or resources
- Government agencies could come to consider your nonprofit an expert in your field and give you more say on public policy issues

#### Cons

- Complicated grant applications applications can take 40-200 hours to complete, and hiring a grant writer to prepare one is costly
- Short lead times deadlines four to eight weeks after release
- Increased oversight and monitoring of how funds are spent and whether results achieved matched those promises
- Slow reimbursement government agencies typically do not advance funds, they reimburse you after you spend money
- Displacement or reduction in other funding - some individual donors might feel that your organization now has enough money and stop donating

### **Government Grants**



A history of successful grant seeking

Capacity and credibility

A history of successful outcomes

A program that reflects best practices

A compelling need supported by relevant data from credible sources – local data if possible

An evaluation plan

Collaborations and partnerships

### **Contributors to Success**



Leverage resources

Build on each other's strengths

### Opportunity for capacity building

- Evaluation
- Grant management
- Content knowledge

Expand service area

Provide additional services

#### Comprehensive/ holistic services

 Decrease barriers for those seeking services

### **Partnership Benefits**





http://www.cjccresourcelocator.net

### **Finding Partners**

- Existing networks/task forces/coalitions
- Search engines
- Look around





Letter of Support

Letter of Commitment

Memorandum of Understanding

Memorandum of Agreement

- History of relationship between organizations
- Detailed description of each organization's roles and responsibilities
- Value of the proposed project
- How funds will be used

### **Documented Partnerships**





## Questions



# Finding Data to Support your Application

February 28, 2018
Ellen McCann, PhD
Statistician
Statistical Analysis Center



# Data Elements of a Strong Application:

- Making the case with national examples

Making the case with locally informed data

### Before You Make the Case: Conceptualize

How will you define poverty?

Measures might include...

How will you define education?

Measures might include...

How will you define mental health or substance abuse? Measures might include...

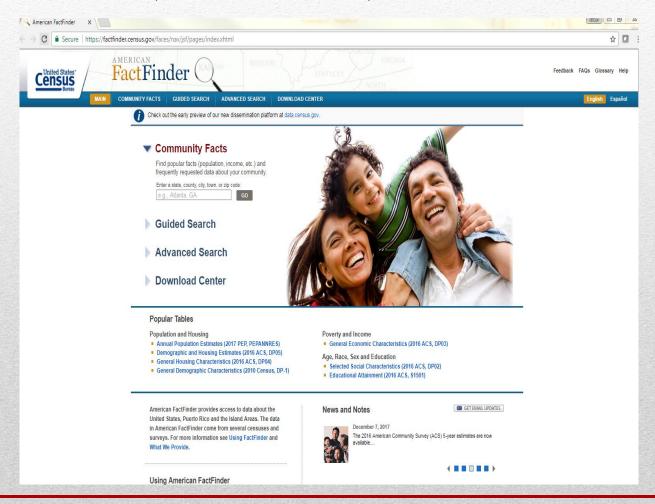
How will you define justice involvement? Measures might include...

## Then Make the Case: National and Local

- Data collections in your field national data sets the context for the broad importance of the topic
  - The case for ".org" sources
  - The case for ".gov" sources
- Most offer local comparison points
- Local sources of information also are at the ready

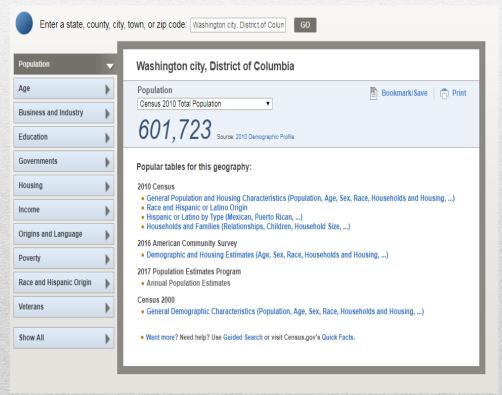
National and Local Data Sources

### The American Community Survey – "American FactFinder" (The US Census!)

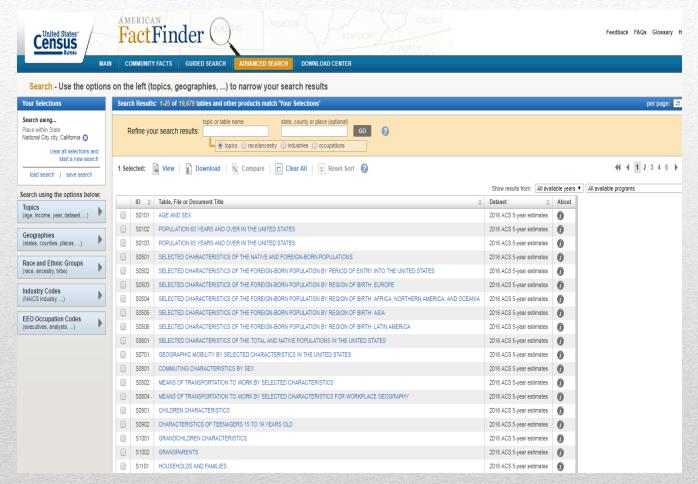


### The American Community Survey – "American FactFinder" – Continued...

• Using the "Community Facts" tab you can simply type in "District of Columbia" and you get broad points and some additional links you might find useful:



### The American Community Survey – "American FactFinder" – Continued...



The "Advanced Search" link offers tables that can be filtered to your location & expertise

#### DC Relevant and Ward Level Data Instructions:

- 1. Click on 'advanced search'
- 2. On the left side click on 'geographies'
- 3. Select the tab 'name'
- 4. Then under geography filter options, select 'state legislative district'
- 5. Then on the right look for and click on 'All State Legislative District, Upper Chamber within District of Columbia' Now any available data will be only those that are available at the District and Ward level, then "Close" on the top right to shut the "geographies" selector.
- 6. Next go to the left and select 'topics' and a new box will pop up for you to select from
- 7. After making selections of what you want to see, close this so you can see the list of available data (example: you can select people, then see any table with food stamps and SNAP)

### **Topics Available** (just some examples)

#### People:

- Basic counts - Language

- Age and Sex tables - Marital and Fertility

- Age Groups Status

- Disabilities - Origins

- Education Levels - Population Change

- Income and Earnings - Poverty

- Insurance Coverage - Relationship

- Veterans

#### **Housing:**

- Basic Counts

- Financial

Characteristics

- Occupancy

Characteristics

- Physical

Characteristics

As you select different subtopics, the search results will update to include ALL tables that match your search – see "Your Selections" on the top left to see what your filters are for this list.

Starred selections are the best match for your filters...



FactFinder ( )

MAIN

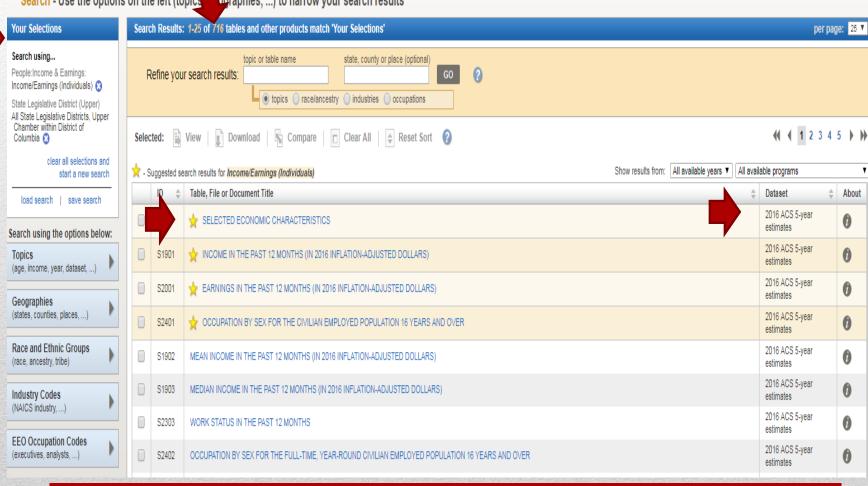
COMMUNITY FACTS

**GUIDED SEARCH** 

ADVANCED SEARCH

DOWNLOAD CENTER

Search - Use the options on the left (topics ographies, ...) to narrow your search results



# Some of what you will get in your detailed tables

("Selected Economic Characteristics" table):

- Employment status
- Commuting to work
- Occupation
- Industry
- Class of worker
- Income and benefits
- Health Insurance coverage
- Percent of families below the poverty level

## Getting local...

Local Population Data: <a href="https://planning.dc.gov/page/dc-data">https://planning.dc.gov/page/dc-data</a>

- Quick Facts
- Reports
- Tables
- ACS Estimates for DC
- Population Estimates for DC
- Census Data
- Related Sites
- DC Forecasts (households, populations and jobs most are 2016)

  This one has neighborhood cluster maps

#### In Specific Interest Areas: Education

- In the American Community Survey:
  - National FAQ data on juvenile populations, education, poverty, living arrangements, teen parenting
- National Center for Educational Statistics has the National Household Education Surveys Program data
  - 2016, 2012, 2007, 2005, 2003
  - <a href="https://nces.ed.gov/nhes/">https://nces.ed.gov/nhes/</a> (most are high-end user datasets)
    - Early Childhood Program Participation files
    - Parent and Family Involvement in Education files
    - Adult Training and Education files
    - Easiest part to use is the link on left "Table Library" links to precalculated tables

Study Information
Survey Topics/
Populations
Questionnaires
Related Resources
Events
Table Library

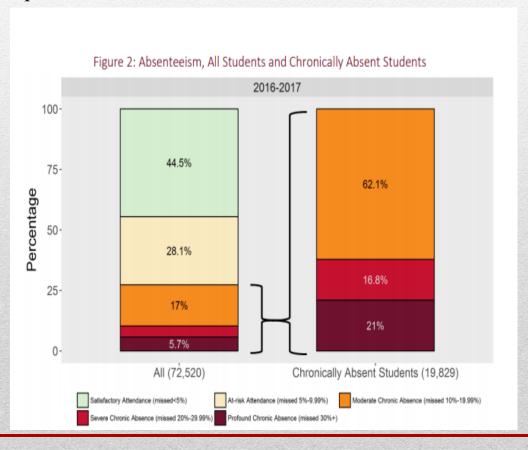
Join NewsFlash

Contact

Overview

#### Local Education Points:

DC Education Data: <a href="https://osse.dc.gov/service/data">https://osse.dc.gov/service/data</a>
Their annual report on attendance is rich with data...



## In Specific Interest Areas: Juvenile Justice

- Easy Access Datasets
  - Juveniles in Residential Placements: <a href="https://www.ojjdp.gov/ojstatbb/ezacjrp/">https://www.ojjdp.gov/ojstatbb/ezacjrp/</a>
    - National crosstabs
    - US and State Profiles
    - State Comparisons
  - Juvenile Court Statistics: <a href="https://www.ojjdp.gov/ojstatbb/ezajcs/">https://www.ojjdp.gov/ojstatbb/ezajcs/</a>
    - Analyze Delinquency Cases
    - Demographics
    - Case Processing
    - Detailed Offenses
    - Methods
  - Juvenile Populations: <a href="https://www.ojjdp.gov/ojstatbb/ezapop/">https://www.ojjdp.gov/ojstatbb/ezapop/</a>
    - Total population profiles
    - State Comparisons
    - County Comparisons

#### Local Juvenile Justice Points

#### Police Data

- Juvenile Arrests (data you can download):
   <a href="https://mpdc.dc.gov/page/biannual-reports-juvenile-arrests">https://mpdc.dc.gov/page/biannual-reports-juvenile-arrests</a>
- Embedded in comparisons with DC Crime Data in annual report: <a href="https://mpdc.dc.gov/sites/default/files/dc/sites/mpdc/publication/attachments/MPD%20Annual%20Report%202016\_lowres.pdf">https://mpdc.dc.gov/sites/default/files/dc/sites/mpdc/publication/attachments/mpdc/20Annual%20Report%202016\_lowres.pdf</a>

#### **Court Data**

- Family Court Social Services Division:

<a href="https://www.dccourts.gov/about/organizational-performance/annual-reports">https://www.dccourts.gov/about/organizational-performance/annual-reports</a>

(scroll to the bottom of the list and open the Family Court report)

#### **Custody Data**

- DYRS: <a href="https://dyrs.dc.gov/page/youth-snapshot">https://dyrs.dc.gov/page/youth-snapshot</a>

# Specific Interest Areas: Criminal Justice

- National Crime Reporting
  - Arrests: <a href="https://www.ojjdp.gov/ojstatbb/ezaucr/">https://www.ojjdp.gov/ojstatbb/ezaucr/</a>
    - Arrest Statistics
    - National, State and County data
  - Correctional Populations:
    - Totals <a href="https://www.bjs.gov/index.cfm?ty=kfdetail&iid=487">https://www.bjs.gov/index.cfm?ty=kfdetail&iid=487</a>
    - Jails <a href="https://www.bjs.gov/index.cfm?ty=dcdetail&iid=261">https://www.bjs.gov/index.cfm?ty=dcdetail&iid=261</a>
    - Prisons <a href="https://www.bjs.gov/index.cfm?ty=kfdetail&iid=488">https://www.bjs.gov/index.cfm?ty=kfdetail&iid=488</a>
  - Bureau of Justice Statistics: www.bjs.gov
    - Surf this site looking for anything from raw data to reports on the materials

#### **Local Criminal Justice Points**

\*Crimes reported differ from arrests made – remember the importance of conceptualizing!

#### **MPD**

- Annual Reports:
  - https://mpdc.dc.gov/sites/default/files/dc/sites/mpdc/publication/attachments/MPD%20Annual%20 Report%202016\_lowres.pdf
- Crime Map: <a href="http://crimemap.dc.gov/">http://crimemap.dc.gov/</a>
- Crime at-a-glance: <a href="https://mpdc.dc.gov/page/crime-statistics-citywide">https://mpdc.dc.gov/page/crime-statistics-citywide</a>

#### DC Superior Court Annual Reports:

- https://www.dccourts.gov/about/organizational-performance/annual-reports

#### Department of Corrections <a href="https://doc.dc.gov/page/inmate-demographics-and-statistics">https://doc.dc.gov/page/inmate-demographics-and-statistics</a>

- Quarterly Population Statistics
- DOC Facts and Figures
- DOC Official Population Counts by Facility
- DOC PREA, Safety, and Security Reports

### Summary:

- Making your case starts by defining the boundaries of the problem
- Show how important it is with unbiased data that show national and local awareness
- Dig into all available sources
- Don't be afraid to Google it!

## Questions?





THE ABC'S OF PULLING TOGETHER A

# GRANT APPLICATION

## What's in a winning proposal?

- Approaching the Application
- Building a Project/Program Narrative
- Building a Project/Program Budget
- Compiling Proposal Package

#### PRE-APPLICATION

#### Decision to apply for the grant

- Get executive buy-in
- Get division or department buy-in

#### Set up the grant application team

- Meetings have decision makers at multiple levels
- All the relevant stakeholders are included
- Identify potential external partners

#### PRE-APPLICATION



• Grant Requirements

Organizational Capacity

Partnerships

• Time/Period

#### Clear Statement of the Problem

What is the problem

Why is it a problem

Why will this program address the problem

Clear Statement of the Problem

Program Goals

Program Objectives

Expected outcomes

Project Design and Implementation

- Detailed description of the proposed project
- Description of how the interventions will be rolled out during the funding period

Timeline and tasks to be funded

Address program assumptions

- Core Partner Capabilities Organization capacity statement
- Program Approach Agreement: Teaming agreement
- Program Management Team Composition : Key personnel resumes
- Monitoring and Evaluation Data collection and management Plan

#### PROGRAM LOGIC MODEL

Assessments

Define Problem
Outline Solutions

Input

Resources

Output

Program Activities

Outcome Impact

# PROGRAM MONITORING & EVALUATION

#### Program Measures Requirements

Program Evaluation Requirements

Data to be collected

Baseline Assessment

Tools used for Data collections

Special Data collection/Coordination

Data Analysis and Information Sharing

Final external evaluation

Goal

**Expansion of Mental** 

**Health Interventions** 

Objectives/Activities

for Elderly

Indicator

Expansion of

Elderly

Mental Health

Interventions for

Reduced impact of

mental health on

DC elderly

community

**Definition** 

Number of Elderly

starting and

completing

# of mental

trained

health providers

# of additional

mental health

services provided

% of elderly who

successfully

% of elderly

obtaining health

assisted in

care

program

complete the

comprehensive mental health treatment program **Baseline** 

20

35%

40%

**Target** 

35

75%

80%

**Data** 

Source

DC

S

DC

S

DC

S

DC

S

Mental

Health Program

Mental

Health

Program

Mental

Health

Program

Mental

Health

**Program** 

Frequency

Quarterly

Quarterly

Quarterly

Quarterly

Responsible

Mental health

monthly status

DBH monthly

monitoring

reports of

providers

health

services

report

providers

Reporting

Dept. of

Dept. of

Human

Services

Public

Health and

Health (DC)

## Cost Application Budget

#### **MUST HAVE**

- Use the correct financial templates
- Budget with adequate level of details (unit costs)
- Budget narrative that directly reflects the detailed budget

#### DO'S AND DON'TS

Approval for grant matching (Cash or In-kind)

Budget amount does not exceed the grant approved budget

Justification for all the costs included in the budget

Cost application certifications signed by the authorized person

## PROJECT ABSTRACT



Reference to the problem that will be addressed.

Basics information on your organization.

- Who you work with;
- What you do; and
- Why you organization is positioned for this funding opportunity.

Succinct summary of the intervention/program to be funded.

#### SUPPORTING MATERIALS

#### POTENTIAL MATERIALS

Letters of Support or Memorandum of understandings

Logic Model

#### Other required documents

- Equal Employment Opportunity Compliance
- Standard Assurances
- Drug Free Certification
- Audited financial statement

#### DO'S AND DON'TS

If you need letters of support, send these out at least 2-3 weeks before you need them with the abstract

All supporting documents are current-download the correct and updated format.

All supporting documents are signed by authorized person



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